

# Services to the Banking and Capital Markets Sector

2006 edition



# Services we provide

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- Accounting Compliance
- Dedicated SPV Accounting function
- FSA Related work
- Middle/Back Office support
- Tax compliance services



# Accounting Compliance

- Transaction Processing
- Management Reporting
- Statutory accounts, Audit file and tax pack preparation
- Performance monitoring and review
- Financial modelling
- Payroll management
- Tax compliance



# Dedicated SPV Accounting Function

UK, Jersey, Ireland, Cayman Islands & Continental Europe

- Bespoke reporting model following full documents review & assessment of clients needs
- Ongoing accounting & reporting function
- Production of draft statutory accounts
- Auditor liaison & accounts completion
- Tax compliance
- Liaison & co-ordination with third parties (eg. cash manager, corporate administrator and lawyers)



# Experience in SPV Sector

- 3 year track record in accounting for SPV's
- Established relationships with major originators, key intermediaries and 'Big 4' audit firms
- Range of transactions (asset backed securitisations, CDO obligations, CP conduit programmes)
- Range of jurisdictions (UK, Jersey, Ireland, Cayman Islands and Continental Europe)
- Full range of accounting and reporting services provided to over 100 vehicles
- Growing, dedicated SPV accounting function



# FSA Related Work

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- Back office function
- Assistance and Review of FSA Applications
- On-shore audit function
- Advisory role on financial compliance
- Act for funds, brokers & corporate finance houses



# Middle / Back-Office Support

- Outsourcing of finance function
- Secondment of staff for designated tasks
- Staff work under client instruction & direction
- Resources can be provided at short notice



# Tax Compliance Services

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- Tax Registrations for start ups
- Ongoing tax compliance (VAT, PAYE, Corporation Tax)
- 'BIG 4' conflict work



# Partner Profiles

## **Robert McCann**

Robert became a partner with the firm in 2003 and helps clients with both outsourcing and business assurance.

He qualified as a chartered accountant in 1989 with a four-partner firm in Dublin. In 1990 he joined Coopers & Lybrand, a predecessor firm of PwC, in London where he worked across a range of business sectors and industries. He also built up a portfolio of overseas banking clients in the UK.

## **Gerry Collins**

Gerry joined the firm from a top 50 firm where he acted as relationship partner on a varied client portfolio. Gerry previously worked for BDO Stoy Hayward for 10 years where he gained extensive experience from running a portfolio of larger corporate clients. Consequently, Gerry has many years experience in advising clients on auditing, accounting and financial accounting issues.

His clients have included very large private clients, subsidiaries of US and Japanese listed parents as well as a broad range of owner- managed and family run businesses. Gerry's experience covers a number of sectors including financial services, leisure, and hotels. His experience also covers assisting overseas companies looking to set up in the UK.